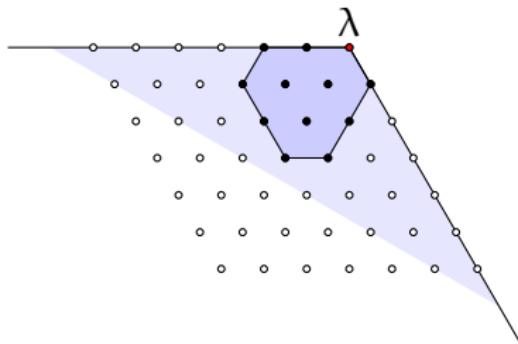


## Lecture 2: Finite-dimensional simple Lie algebras

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## Finite-dimensional and affine Lie algebras

Kac-Moody Lie algebras include two special cases that will concern us in this course: the finite-dimensional and affine Lie algebras. There are two reasons for starting with finite-dimensional simple Lie algebras, even though you may already be familiar with their theory.

- They are the first example of Kac-Moody Lie algebras, so they are good for building intuition.
- The second example of affine Lie algebras are canonically constructed from finite-dimensional simple Lie algebras.

There are two kinds of affine Lie algebras: the twisted and untwisted ones. For lack of time we will focus on the untwisted affine Lie algebras.

## Review: the root decomposition

Let  $\mathfrak{g}$  be a finite-dimensional simple complex Lie algebra. Let  $\mathfrak{h}$  be the Cartan subalgebra, a maximal abelian subalgebra such that we have a weight space decomposition of  $\mathfrak{g}$  under the adjoint representation:

$$\mathfrak{g} = \bigoplus_{\alpha \in \mathfrak{h}^*} \mathfrak{g}_\alpha,$$

$$\mathfrak{g}_\alpha = \{X \in \mathfrak{g} \mid [H, X] = \alpha(H)X, H \in \mathfrak{h}\}.$$

Thus  $\mathfrak{g}_0 = \mathfrak{h}$  and if  $\alpha \neq 0$  such that  $\mathfrak{g}_\alpha \neq 0$  then  $\alpha$  is called a root. We will use the notation  $\mathfrak{X}_\alpha$  instead of  $\mathfrak{g}_\alpha$ . The root spaces  $\mathfrak{X}_\alpha$  are one-dimensional.

## Review: the triangular decomposition

As usual we decompose the root system  $\Phi$  into positive and negative roots and write

$$\mathfrak{n}_+ = \bigoplus_{\alpha \in \Phi^+} \mathfrak{X}_\alpha, \quad \mathfrak{n}_- = \bigoplus_{\alpha \in \Phi^-} \mathfrak{X}_\alpha.$$

Then we have the triangular decomposition

$$\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+.$$

This has many consequences that were discussed last time such as (using PBW)

$$U(\mathfrak{g}) = U(\mathfrak{n}_-) \otimes U(\mathfrak{h}) \otimes U(\mathfrak{n}_+),$$

and the theory of highest weight representations.

## Review: highest weight representations

## Definition

Given any  $\lambda \in \mathfrak{h}^*$ , a highest weight module  $V$  is one generated by a vector  $v_\lambda$  in the  $\lambda$ -weight space  $V_\lambda$  such that

$$\mathfrak{n}_+ v_\lambda = 0, \quad \quad Hv_\lambda = \lambda(H) v_\lambda, \quad H \in \mathfrak{h}.$$

The weight space  $V_\lambda$  is one-dimensional. There are two highest weight modules worth mentioning: the [universal](#) highest weight module  $M(\lambda)$ , called a Verma module, and the unique irreducible highest weight module  $L(\lambda)$ . If  $V$  is any highest weight module for  $\lambda$  there are unique (up to scalar) homomorphisms

$$M(\lambda) \rightarrow V \rightarrow L(\lambda).$$

If  $\lambda$  is in general position  $L(\lambda) = M(\lambda)$ .

## The dual root system

In addition to the root system  $\Phi \subset \mathfrak{h}^*$  we have a dual root system  $\Phi^\vee \subset \mathfrak{h}$ . Indeed there is a bijection  $\alpha \mapsto \alpha^\vee$  from  $\Phi \subset \mathfrak{h}^*$  to  $\Phi^\vee \subset \mathfrak{h}$ . If  $\Phi$  is not a simply-laced root system the map  $\alpha \mapsto \alpha^\vee$  is not linear.

The bijection has the property that  $\langle \alpha^\vee, \alpha \rangle = 2$ . This implies that the bijection takes long roots to short roots, and vice versa.

Elements of  $\Phi^\vee$  are called **coroots**.

## The Weyl group action

The coroots encode the Weyl group action in which the simple reflection  $s_i$  acts on  $\mathfrak{h}^*$  by

$$s_i(\lambda) = \lambda - \langle \alpha_i^\vee, \lambda \rangle \alpha_i$$

and on  $\mathfrak{h}$  by the adjoint action

$$s_i(H) = H - \langle H, \alpha_i \rangle \alpha_i^\vee.$$

The Weyl group arises because  $\mathfrak{g}$  is the Lie algebra of a Lie group  $G$  and  $\mathfrak{h}$  is the Lie algebra of its maximal torus  $T$ . The group  $W = N(T)/T$  then acts on  $\mathfrak{h}$  and  $\mathfrak{h}^*$ . But alternatively we may define  $W$  to be the group generated by the  $s_i$  determined as above, if the roots and coroots are known.

## Reflections

We will always denote a symmetric bilinear form, to be thought of as an inner product, by  $(\cdot | \cdot)$ . We will denote the pairing of a vector space with its dual by  $\langle \cdot | \cdot \rangle$ .

The simple Lie algebra  $\mathfrak{g}$  admits an ad-invariant symmetric bilinear form  $(\cdot | \cdot)$  (the [Killing form](#)). The form remains nondegenerate when restricted to  $\mathfrak{h}$ . We may thus regard  $\mathfrak{h}$  as a complexified Euclidean space, and identify it with  $\mathfrak{h}^*$ . **We will not** make this identification but it is useful as a psychological device to see that the reflection  $s_\alpha$  is a (complexified) Euclidean reflection map.

With the identification of  $\mathfrak{h} = \mathfrak{h}^*$

$$\alpha^\vee = \frac{2\alpha}{(\alpha|\alpha)}.$$

## Integral and Dominant weights

Let  $\alpha_i$  be the simple roots, which are those positive roots that cannot be decomposed into sums of other positive roots. The corresponding coroots  $\alpha_i^\vee$  are also simple in this sense.

### Definition

- (i)  $\lambda \in \mathfrak{h}^*$  is an **integral weight** if  $\langle \alpha_i^\vee, \lambda \rangle \in \mathbb{Z}$  for the simple coroots  $\alpha_i^\vee \in \mathfrak{H}$ .
- (ii) if furthermore  $\langle \alpha_i^\vee, \lambda \rangle \geq 0$  we say that  $\lambda$  is **dominant**.

The integral weights are the differentials (divided by  $2\pi i$ ) characters of the maximal torus  $T$  whose Lie algebra is  $\mathfrak{h}$ .

In other words, an integral weight is a character of  $\mathfrak{h} = \text{Lie}(T)$  that can be **integrated** to a character of  $T$ .

## The order relation

There is a partial order on  $\mathfrak{h}^*$  in which  $\lambda \succcurlyeq \mu$  if

$$\lambda - \mu = \sum_{i=1}^r n_i \alpha_i$$

where  $n_i$  are nonnegative integers.

### Proposition

Let  $V$  be a highest weight module for  $\lambda$ . If  $\mu$  is a weight of  $V$  (so  $V_\mu \neq 0$ ) then  $\lambda \succcurlyeq \mu$ .

**Proof.** Since  $V$  is a quotient of  $M(\lambda)$  it is sufficient to prove this for  $M(\lambda)$ . Last time we computed the character

$$\sum_{\mu} \dim(M(\lambda)_{\mu}) e^{\mu} = e^{\lambda} \prod_{\alpha \in \Phi^+} (1 - e^{-\alpha})^{-1}.$$

## Proof (continued)

Thus

$$\begin{aligned} \sum_{\mu} \dim(M(\lambda)_{\mu}) e^{\mu} &= e^{\lambda} \prod_{\alpha \in \Phi^+} (1 + e^{-\alpha} + e^{-2\alpha} + \dots) \\ &= \sum_{\nu} \mathfrak{P}(\nu) e^{\lambda - \nu} \end{aligned}$$

where  $\mathfrak{P}(\nu)$  is the number of representations of  $\nu$  in the form

$$\nu = \sum n_{\alpha} \alpha.$$

The function  $\mathfrak{P}$  is called the [Kostant partition function](#). If  $\nu$  is a sum (with integer coefficients) of positive roots, it is also a sum (with integer coefficients) of simple roots, so  $\lambda \succcurlyeq \mu$  where  $\mu = \lambda - \nu$ .

## Integrable representations

Suppose  $\mathfrak{g} = \text{Lie}(G)$  and that  $V$  is a  $\mathfrak{g}$ -module. We say that  $V$  is **integrable** if it is the differential of a representation of  $G$ .

(In the Kac-Moody theory we will modify this definition so that we do not have to construct  $G$ , though we will still have the Weyl group.)

Let  $V$  be an integrable representation and let

$$V = \bigoplus_{\mu} V_{\mu}$$

be its weight space decomposition. Then the representation of  $G$ , restricted to  $N(T)$  permutes the weight spaces and so

$$\dim(V_{\mu}) = \dim(V_{w\mu}), \quad w \in W.$$

## The Verma module is not integrable

Let  $\text{supp}(V)$  be the set of weights of  $V$ :

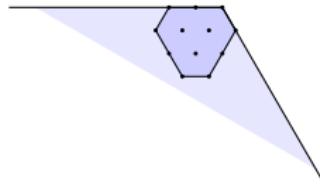
$$\text{supp}(V) = \{\mu \in \mathfrak{h}^* \mid V_\mu \neq 0\}.$$

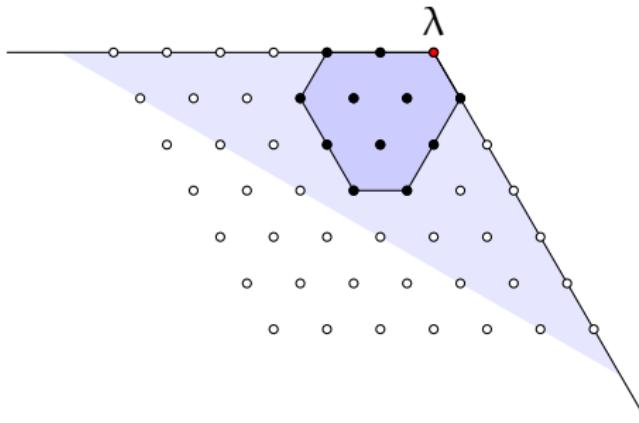
The support of  $M(\lambda)$  is

$$\{\mu \in \mathfrak{h}^* \mid \lambda \succcurlyeq \mu\}.$$

This is not stable under  $W$ , so  $M(\lambda)$  is not integrable.

Visually, here is the convex span of  $W\lambda$  inside the cone of  $\mu$  such that  $\lambda \succcurlyeq \mu$  for a dominant weight  $\lambda$ .



Supports of  $M(\lambda)$  and  $L(\lambda)$ 

The weights of  $L(\lambda)$  with  $\lambda = (3, 1, 0)$  for  $\mathfrak{sl}(3)$  are the black dots in the dark shaded hexagon. They are  $W$ -invariant. The weights of  $M(\lambda)$  are all  $\mu \preccurlyeq \lambda$  in the lighter shaded region (white dots). They are not  $W$ -invariant so  $M(\lambda)$  cannot be integrable.

## Finite-dimensional irreducibles

Let  $P \subset \mathfrak{h}^*$  be the **weight lattice** of positive integral weights. Let  $P^+$  be the subset of dominant weights. Assume that the simple Lie algebra  $\mathfrak{g}$  is the Lie algebra of a simply connected complex analytic Lie group  $G$ .

### Theorem (Weyl)

*If  $\lambda \in P^+$  then there is an irreducible highest weight module for  $\lambda$ . It is a module for  $G$  as well as  $\mathfrak{g}$ .*

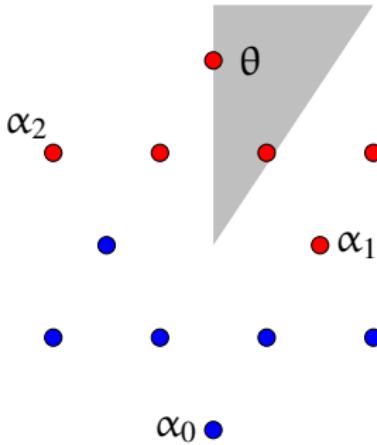
Since there is a unique irreducible highest weight module for  $\lambda$  the module in Weyl's theorem is the unique irreducible highest weight module  $L(\lambda)$ . We see that if  $\lambda \in P^+$  then  $L(\lambda)$  is integrable in the sense that it integrates to a representation of the Lie group  $G$ .

## The highest root

By Weyl's theory, every finite-dimensional irreducible has a highest weight. Applying this to the adjoint representation of  $\mathfrak{g}$  on itself, there is a highest root  $\theta$ , and every root satisfies  $\lambda \succcurlyeq \alpha$ .

Let  $\{\alpha_1, \dots, \alpha_r\}$  be the simple roots. Then  $(\alpha_i | \alpha_j) \leq 0$  if  $i \neq j$ , where  $( | )$  is an ad-invariant positive definite inner product on  $\mathfrak{g}$ , such as the Killing bilinear form.

Let us provisionally define  $\alpha_0 = -\theta$ . (We will modify this definition later in the context of affine Lie algebras.) It remains true that  $(\alpha_i | \alpha_j) \leq 0$  when  $i \neq j$  on the extended set  $\{\alpha_0, \alpha_1, \dots, \alpha_r\}$ .

Example: The  $G_2$  root system

Red: Positive roots Blue: negative roots.

$\alpha_1$  and  $\alpha_2$  are the simple roots,  $\theta = 3\alpha_1 + 2\alpha_2$  is the highest root, and  $\alpha_0 = -\theta$  is the affine root.

## Cartan matrix and Dynkin diagram

The data  $\alpha_i \in \mathfrak{h}^\vee$  and  $\alpha_i \in \mathfrak{h}$  encode the Weyl group action. There are two useful ways of representing this data. First, the **Cartan matrix** is the matrix of inner products  $a_{ij} = \langle \alpha_i^\vee, \alpha_j \rangle$ . In the Kac-Moody theory, the Cartan matrix is the starting point of the construction.

Graphically, the Dynkin diagram is a diagram whose vertices correspond to the  $\alpha_i$ , and whose edge structure describes the relationship between two vertices.

There is an **extended** Cartan matrix and an **extended Dynkin diagram** in which we add  $\alpha_0$ .

## Example: the $B_4$ Cartan matrix

The Lie algebra of  $\mathfrak{so}(9)$  is of type  $B_3$  in the Cartan classification. We may identify the root lattice  $P \subset \mathfrak{h}^*$  and its dual lattice  $P^\vee$  with  $\mathbb{Z}^4$ . With this identification, the simple roots and coroots are:

$$\begin{aligned}\alpha_1 &= (1, -1, 0, 0), & \alpha_2 &= (0, 1, -1, 0), & \alpha_3 &= (0, 0, 1, -3), & \alpha_4 &= (0, 0, 0, 1), \\ \alpha_1^\vee &= (1, -1, 0, 0), & \alpha_2^\vee &= (0, 1, -1, 0), & \alpha_3^\vee &= (0, 0, 1, -1), & \alpha_4^\vee &= (0, 0, 0, 2).\end{aligned}$$

The highest root

$$\theta = (1, 1, 0, 0) = \alpha_1 + 2\alpha_2 + 2\alpha_3 + 3\alpha_4.$$

The Cartan matrix  $(a_{ij})$  where  $a_{ij} = \langle \alpha_i^\vee, \alpha_j \rangle$  is

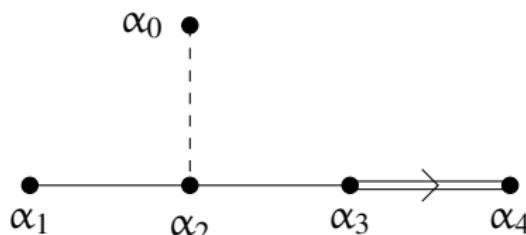
$$\begin{pmatrix} 2 & -1 & & \\ -1 & 2 & -1 & \\ & -1 & 2 & -1 \\ & & -2 & 2 \end{pmatrix}$$

Example: the  $B_4$  extended Cartan matrix

If we add the affine root  $\alpha_0 = (-1, -1, 0, 0)$  to the roots, and  $\alpha_0^\vee = (-1, -1, 0, 0)$  in the dual lattice, we get the extended Cartan matrix

$$\begin{pmatrix} 2 & & -1 & & \\ & 2 & -1 & & \\ -1 & -1 & 2 & -1 & \\ & & -1 & 2 & -1 \\ & & & -2 & 2 \end{pmatrix}.$$

The extended Dynkin diagram (which we will review below) is

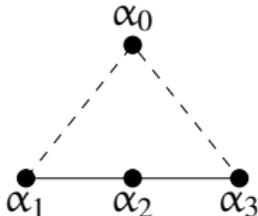


## The Dynkin diagram

The Dynkin diagram is a graph whose vertices are the simple roots. Draw an edge connecting  $\alpha_i$  to  $\alpha_j$  if they are **not** orthogonal.

For the extended Dynkin diagram, we add a node for  $\alpha_0$ .

We use a dashed line for connections of  $\alpha_0$ . Here is the extended Dynkin diagram for  $A_3$ :



$$\begin{aligned}\alpha_1 &= (1, -1, 0, 0) \\ \alpha_2 &= (0, 1, -1, 0) \\ \alpha_3 &= (0, 0, 1, -1) \\ \alpha_0 &= (-1, 0, 0, 1)\end{aligned}$$

## Double and triple bonds

If  $\alpha_i$  and  $\alpha_j$  have different lengths, we connect them by:

- a double bond if their root lengths are in the ratio  $\sqrt{2}$ ;
- a triple bond if their root lengths are in the ratio  $\sqrt{3}$ .

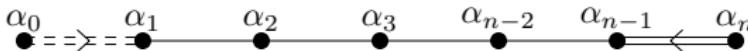
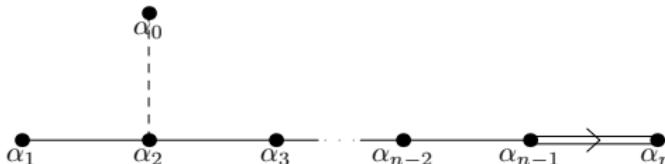
The triple bond only occurs with  $G_2$ . Here are the angles of the roots:

bond	angle	example
no bond	$\frac{\pi}{2}$	$\mathfrak{sl}(2) \times \mathfrak{sl}(2)$
single bond	$\frac{2\pi}{3}$	$\mathfrak{sl}(3)$
double bond	$\frac{3\pi}{4}$	$\mathfrak{sp}(4)$
triple bond	$\frac{5\pi}{6}$	$G_2$

## The direction of the arrow

If the roots are connected by a double or triple bond, they have different lengths. We draw an arrow **from the long root to the short root**.

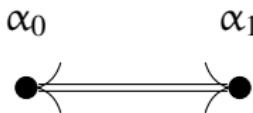
Here are the extended Dynkin diagram of type  $B_n$  and  $C_n$ :



## The case of $SL(2)$

In the case of  $SL(2)$ , something happens that does not occur in other cases: the highest root  $\theta$  happens to coincide with a simple root  $\alpha_1$ .

The roots  $\alpha_0$  and  $\alpha_1$  have the same length. We may draw the extended Dynkin diagram with a unique bond  $\longleftrightarrow$ :



The nodes  $\alpha_0$  and  $\alpha_1$  are interchangeable by an outer automorphism, so this notation does not distinguish them. This type of bond occurs only in this case.

## The marks or labels of the extended diagram

In the table Aff 1 on page 54 of Kac's book *Infinite-dimensional Lie algebras* are the extended Dynkin diagrams of simple Lie algebras with certain coprime positive integers  $a_i$  called **labels** or **marks** next to each vertex  $\alpha_i$ . These satisfy

$$\sum_{i=0}^r a_i \alpha_i = 0.$$

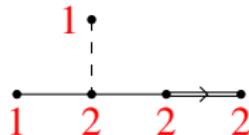
To look at this another way, write

$$\theta = \sum_{i=1}^r a_i \alpha_i.$$

We may do this with integers  $a_i > 0$  since every positive root is a sum of simple roots. Then we may  $a_0 = 1$ .

## The Coxeter number

Here are the labels  $a_i$  for  $B_4$ :



the vector  $(a_0, \dots, a_r)$  annihilates the columns of the extended Cartan matrix (since  $\sum_{i=0}^r a_i \alpha_i = 0$ ).

The number  $h = \sum a_i$  is called the **Coxeter number**. It has various meanings. For example the product of simple reflections  $s_1 \cdots s_r$  (in any order) is called a **Coxeter element** and  $h$  is its order.

## The comarks and dual Coxeter number

With  $\theta$  the longest root,  $\theta^\vee$  may be expanded in terms of the simple coroots:

$$\theta^\vee = \sum a_i^\vee \alpha_i^\vee.$$

Then with  $a_0^\vee = 1$  we have

$$\sum_{i=0}^r a_i^\vee \alpha_i^\vee = 0.$$

Note that if the root system is not simply-laced,  $\theta^\vee$  is **not** the highest element of the dual root system  $\Phi^\vee$ . Indeed,  $\theta^\vee$  is a short root, and the highest root element is a long root.

The vector  $(a_0^\vee, \dots, a_r^\vee)$  annihilates the rows of the extended Cartan matrix. The ubiquitous number  $h^\vee = \sum a_i^\vee$  is called the **dual Coxeter number**.

## Informational

In Kac's book the dual Coxeter numbers are tabulated in Chapter 6. Here are Sage incantations to obtain the labels, colabels, Coxeter and dual Coxeter numbers.

```
sage: CartanType("B4~").col annihilator() #labels
Finite family {0: 1, 1: 1, 2: 2, 3: 2, 4: 2}
sage: CartanType("B4~").row annihilator() #colabels
Finite family {0: 1, 1: 1, 2: 2, 3: 2, 4: 1}
sage: CartanType("B4").coxeter_number()
8
sage: CartanType("B4").dual_coxeter_number()
7
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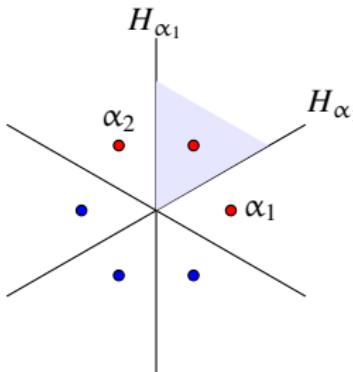
- [Coxeter Number \(Wikipedia\)](#)
- [Discussion of dual Coxeter numbers on Math Overflow](#)

## Review: the positive Weyl chamber

The Weyl group  $W$  of the finite-dimensional semisimple Lie algebra  $\mathfrak{g}$  acts on  $\mathfrak{h}^*$ . If  $\alpha$  is a root, let

$$H_\alpha = \{x \in \mathfrak{h}^* \mid \langle \alpha^\vee, x \rangle = 0\}$$

be the hyperplane orthogonal to  $\alpha$ . The Weyl group is generated by reflections  $s_i$  in the walls of the positive Weyl chamber, which are the hyperplanes  $H_i = H_{\alpha_i}$ . For  $\mathfrak{sl}(3)$  here is the positive Weyl chamber (shaded blue)

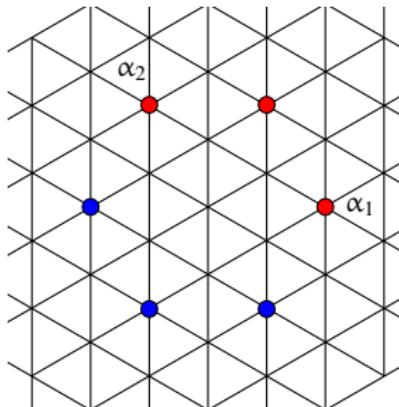


## The affine Weyl group

We may expand the configuration of hyperplanes to include

$$H_{\alpha, n} = \{x \in \mathfrak{h}^* \mid \langle \alpha^\vee, x \rangle = n\}, \quad n \in \mathbb{Z}.$$

The group generated by reflections in these hyperplanes is the **affine Weyl group**  $W_{\text{aff}}$ . For  $\mathfrak{sl}(3)$ :

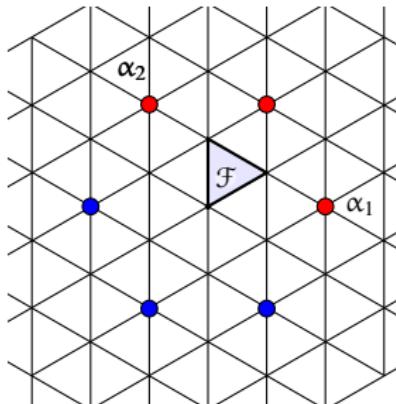


## The fundamental alcove

To generate, we only need  $s_1, \dots, s_r$  and one more,  $s_0$  which is the reflection in the hyperplane  $H_{\theta,1}$ . A fundamental domain  $\mathcal{F}$  for  $W_{\text{aff}}$  is obtained by adjoining the inequality

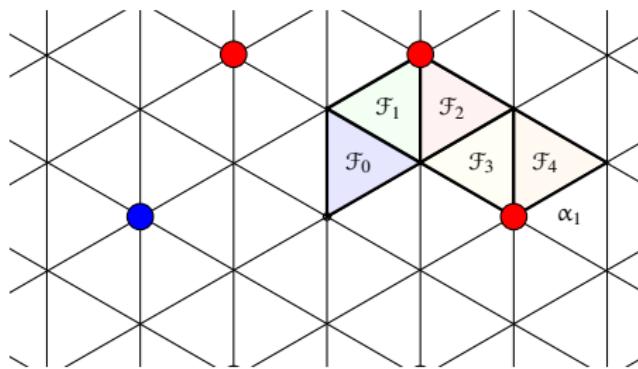
$$\langle \theta^\vee, x \rangle \leq 1.$$

For  $\mathfrak{sl}(3)$ :



## Translations in the affine Weyl group

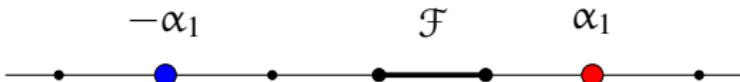
The affine Weyl group is generated by  $s_0, \dots, s_r$ , the reflections in the walls of  $\mathfrak{H}$ . It contains all translations  $t_\beta$  for  $\beta$  in the root lattice  $Q$ . Let us illustrate this for  $t_{\alpha_1}$ .



The alcoves  $\mathcal{F}_0, \dots, \mathcal{F}_4$  are  $\mathcal{F}, s_0\mathcal{F}, s_0s_2\mathcal{F}, s_0s_2s_0\mathcal{F}$  and  $s_0s_2s_0s_1\mathcal{F} = t_{\alpha_1}\mathcal{F}$ . The operation  $s_0s_2s_0s_1$  moves  $\mathcal{F}$  to  $t_{\alpha_1}\mathcal{F}$  in the correct orientation, so  $s_0s_2s_0s_1 = t_{\alpha_1}$ .

## The case of $\mathrm{SL}(2)$

In the case of  $\mathrm{SL}(2)$  the fundamental alcove is a line segment from 0 to  $\alpha_1/2$ . Let  $s_0$  and  $s_1$  be the simple reflections. Then  $s_1s_0$  is the translation by  $\alpha_1$  and hence has infinite order. There is no other instance in affine Weyl groups where  $s_i s_j$  has infinite order.



## Affine Lie algebra

After the finite-dimensional semisimple Lie algebras, the best known and arguably simplest Kac-Moody Lie algebras are the [affine Lie algebras](#). These admit a construction independent of the general Kac-Moody construction by [affinizing](#) a simple complex Lie algebra.

Although the affine Lie algebras are canonically derived from finite-dimensional simple Lie algebras, their theory is deeper with many new features.

There are two methods of enlarging a Lie algebra. We will make use of both. The first is to make a central extension; the second is to ajoin a derivation.

## Central extensions

Let  $\mathfrak{g}$  be a Lie algebra and  $\mathfrak{a}$  an abelian Lie algebra. By a **central extension** of  $\mathfrak{g}$  by  $\mathfrak{a}$  we mean a Lie algebra  $\tilde{\mathfrak{g}}$  and a short exact sequence

$$0 \longrightarrow \mathfrak{a} \longrightarrow \tilde{\mathfrak{g}} \longrightarrow \mathfrak{g} \longrightarrow 0$$

such the image of  $\mathfrak{a}$  is contained in the center of  $\tilde{\mathfrak{g}}$ .

Just as group extensions of a group  $G$  by an abelian group  $A$  are classified by  $H^2(G, A)$ , extensions of a Lie algebra  $\mathfrak{g}$  by an abelian Lie algebra  $\mathfrak{a}$  are classified by  $H^2(\mathfrak{g}, \mathfrak{a})$ . We consider only the important special case of central extensions.

## The cohomology group $H^2$

Central extensions of a Lie algebra  $\mathfrak{g}$  by an abelian Lie algebra  $\mathfrak{a}$  are classified by the Lie algebra cohomology group  $H^2(\mathfrak{g}, \mathfrak{a})$  whose definition we review.

A bilinear map  $\phi : \mathfrak{g} \times \mathfrak{g} \longrightarrow \mathfrak{a}$  is called a **2-cocycle** if  $\phi(x, y) = -\phi(y, x)$  and

$$\phi([x, y], z) + \phi([y, z], x) + \phi([z, x], y) = 0.$$

If  $f : \mathfrak{g} \longrightarrow \mathfrak{a}$  is a linear map then the map  $\phi(x, y) = f([x, y])$  is called a **coboundary**.

Coboundaries are automatically cocycles. If  $Z^2(\mathfrak{g}, \mathfrak{a})$  is the group of cocycles and  $B^2(\mathfrak{g}, \mathfrak{a})$  are the coboundaries then  $H^2(\mathfrak{g}, \mathfrak{a}) = Z^2(\mathfrak{g}, \mathfrak{a})/B^2(\mathfrak{g}, \mathfrak{a})$ .

## Central extensions from 2-cocycles

Let  $\phi \in Z^2(\mathfrak{g}, \mathfrak{a})$ . Define  $\tilde{\mathfrak{g}} = \mathfrak{g} \oplus \mathfrak{a}$  with the modified bracket:

$$[(X, A), (Y, B)] = ([X, Y], \phi(X, Y)).$$

This is independent of  $A, B \in \mathfrak{a}$  because we want  $\mathfrak{a}$  to be central. This bracket defines a Lie algebra. To see that the Jacobi relation is satisfied, note that

$$[[X, A), (Y, B)](Z, C) = ([[X, Y], Z], \phi([X, Y], Z)).$$

From this it is easy to check that the Jacobi identity for  $\tilde{\mathfrak{g}}$  follows from the Jacobi identity for  $\mathfrak{g}$  and the cocycle condition.

If we change  $\phi$  by a coboundary then we obtain an equivalent extension. It may be shown that every extension is of this form, uniquely up to a coboundary, so central extensions are indeed classified by  $H^2(\mathfrak{g}, \mathfrak{a})$ .

## Example: the Virasoro algebra

An example of such a construction is the [Virasoro algebra](#), a Lie algebra that plays a central role in conformal field theory. We start with the “Witt Lie algebra”  $\mathfrak{w}$  of vector fields on the circle. This has generators  $L_n$  subject to relations

$$[L_n, L_m] = (n - m)L_{n+m}.$$

Define  $\phi : \mathfrak{w} \times \mathfrak{w} \longrightarrow \mathbb{C}$  defined by

$$\phi(L_n, L_m) = \frac{1}{12}\delta_{n,-m}(n^3 - n)$$

### Proposition

*This  $\phi$  is a 2-cocycle.*

We are regarding  $\mathbb{C}$  as an abelian Lie algebra.

## Checking the cocycle identity

From the last slide:

$$\mathfrak{w} = \{L_n \mid n \in \mathbb{Z} \mid [L_n, L_m] = (n - m)L_{n+m}\}.$$

$\phi : \mathfrak{w} \times \mathfrak{w} \rightarrow \mathbb{C}$  is defined by

$$\phi(L_n, L_m) = \frac{1}{12}\delta_{n,-m}(n^3 - n).$$

Clearly  $\phi(L_n, L_m) = -\phi(L_m, L_n)$ . We must check

$$\phi([L_n, L_m], L_p) + \phi([L_m, L_p], L_n) + \phi([L_p, L_n], L_m) = 0.$$

Both sides vanish unless  $n + m + p = 0$ , so assume this. A small amount of algebra verifies the cocycle condition.

```
sage: def coc(n,m,p): return (1/12)*(n-m)*(p^3-p)
sage: P.<n,m>=PolynomialRing(QQ)
sage: p = -n-m
sage: coc(n,m,p)+coc(m,p,n)+coc(p,n,m)
0
```

## The Virasoro algebra

Moreover, Fuchs and Feigin proved that  $H^2(\mathfrak{w}, \mathbb{C})$  is one-dimensional generated by the class of  $\phi$ .

We may define a central extension  $\mathcal{V}$  of  $\mathfrak{w}$  by  $\mathbb{C}$ . Denoting the image in  $\mathcal{V}$  of  $1 \in \mathbb{C}$  by  $C$ ,  $\mathcal{V}$  has a basis  $L_n$  ( $n \in \mathbb{Z}$ ) plus one central element  $C$  and

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{n^3 - n}{12}\delta_{n,-m}C.$$

The representation theory of  $\mathcal{V}$  is much richer than that of  $\mathfrak{w}$ . If  $V$  is a module, then the central element  $C$  acts by a scalar  $c$ , known as the **central charge**. Classification of the representations of  $\mathcal{V}$  is a key step in the study of conformal field theories.

## Adjoining a derivation

In addition to making a central extension, a second construction that we may use is to adjoint a derivation of a Lie algebra. This is a special case of a more general construction, the semidirect product. If  $d : \mathfrak{g} \rightarrow \mathfrak{g}$  is a derivation, so  $d[x, y] = [dx, y] + [x, dy]$ , then we may put a Lie algebra structure on  $\mathfrak{g} \oplus \mathbb{C} \cdot d$  by

$$[x + ad, y + bd] = [x, y] + ad(y) - bd(x).$$

This is a special case of a more general construction, the semidirect product.

## The plan (I)

Let  $\mathfrak{g}$  be a finite-dimensional simple Lie algebra. In the next lecture we will construct the (untwisted) affine Lie algebra  $\widehat{\mathfrak{g}}$ . If  $\mathfrak{g}$  is a Lie algebra and  $A$  is a commutative associative algebra then  $A \otimes \mathfrak{g}$  is a Lie algebra with the bracket

$$[(a, X), (b, Y)] = (ab, [X, Y]).$$

Thus  $t$  is an indeterminate  $\mathbb{C}[t, t^{-1}] \otimes \mathfrak{g}$  is a Lie algebra. The first step is to make a central extension:

$$0 \rightarrow \mathbb{C} \rightarrow \widehat{\mathfrak{g}}' \rightarrow \mathbb{C}[t, t^{-1}] \otimes \mathfrak{g} \rightarrow 0.$$

This Lie algebra  $\widehat{\mathfrak{g}}$  has a much richer representation theory than  $\mathbb{C}[t, t^{-1}] \otimes \mathfrak{g}$ .

## The plan (II)

We have so far constructed a first version  $\hat{\mathfrak{g}}'$  of the affine Lie algebra by forming a central extension of  $\mathbb{C}[t, t^{-1}] \otimes \mathfrak{g}$ . Just as with the Virasoro algebra, the central extension has a much richer representation theory than  $\mathbb{C}[t, t^{-1}] \otimes \mathfrak{g}$ . It has one defect: the weight spaces of its modules are usually infinite-dimensional.

To remedy this defect, we enlarge it one step further by adjoining a derivation  $d$  to obtain the **untwisted affine Lie algebra**  $\hat{\mathfrak{g}}$ . The subalgebra  $\hat{\mathfrak{g}}'$  is the derived subalgebra.